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Signature _____

May 23, 2007

Date _____

THE UNIVERSITY OF CHICAGO

Principal Name

Signature _____

May 23, 2007

Index

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Ref. No. 47,884

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Application Serial No. 09/972,821 - Filed October 5, 2001

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"As shown in FIG. 4, the event manager 402 of node a 401 and the event manager 411 of node b 410 also receive event information from the event correlator 413 of node b 410. The event manager, 411 of node b 410 also provides events to the event correlator 413 on node b. The event manager 411 also receives event information from point product 415, where events are actually occurring. Event manager 402, 411 maintains, for example, the events and their associated state and a list of subscriptions. Each event manager may have a local memory data store, e.g., a blackboard, where statefull events are stored. The blackboard may be kept persistent in a file based storage, for recovery of the information across generations (process invocation of the event manager). The clients subscribing to events are responsible for reestablishing the respective subscriptions across new invocations of the event manager. Accordingly, the subscriptions may be maintained in memory. The local event archive is maintained for all the events received by the event manager. The event management system of the present invention also may correlate events from multiple nodes. In an exemplary embodiment of the present invention, the event management system provides views of events consolidated to single management stations or in views/categories that cross node boundaries."

In contrast, Pohlmann clearly describes the event correlator as being configured to

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Lawler, in view of Pohlmann, in view of Warwick, and in view of U.S. Patent No. 6,108,695 (hereinafter "Chawla"). Claim 11 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Pohlmann in view of Warwick and in view of U.S. Patent No. 6,636,901 (hereinafter "Sudhakaran"). Claim 21 is rejected under 35 U.S.C. § 103(a) as being unpatentable over Pohlmann in view of Warwick in view of U.S. Patent Publication 2003/0159150. Finally, claim 27 is rejected under 35 U.S.C. § 103(a) as being unpatentable over Lawler, in view of Pohlmann, in view of Warwick and in view of Sudhakaran. The following clear errors in the Examiner's rejection are noted.

Claim 1 recites features including "an event broker configured to register a plurality of event bookings in response to requests from . . . clients", one or more "event managers . . . configured to detect particular types of events", and one or more "action handlers . . . configured to initiate particular types of actions." In paragraph 3 of the Office Action, the examiner equates Pohlmann's event correlator 413 (also 330) with the recited broker, Pohlmann's event manager 310 with the recited event managers, and Pohlmann's response engine 350 with the recited action handler. However, as discussed below, Applicant submits the suggested equivalences do not hold up upon further scrutiny.

For example, claim 1 recites that the event broker is configured to register event bookings in response to requests from one or more clients. Given the equivalences suggested by the examiner, Pohlmann would have to disclose the event correlator (413, 330) (which the examiner equates with the recited event broker) is configured to register event bookings in response to requests from clients. However, Pohlmann does not disclose such features. In the Office Action, the examiner cites column 5, lines 3-26, of Pohlmann as disclosing the above features. However, nowhere does this citation disclose the event correlator 413 is configured to register event bookings in response to requests from one or more clients as suggested. The cited disclosure is reproduced in its entirety below:

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In light of the foregoing, Applicant submits the application is in condition for allowance, and notice to that effect is respectfully requested. If any extension of time (under 37 C.F.R. § 1.136) is necessary to prevent the above referenced application from becoming abandoned, Applicant hereby petitions for such an extension. If any fees are due, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel PC Deposit Account No. 501505/5266-02600/RDR. Also enclosed herewith are the following items:

- ☒ Notice of Appeal
- ☒ Fee Authorization

Respectfully submitted,



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